



**AST FREEDOM OF INFORMATION &  
PUBLICATION SCHEME**

**SEPTEMBER 2020**

## **CONTENTS**

<b>SECTION</b>	<b>PAGE</b>
1. Introduction	2
2. Responsibilities	2
3. How to make a request	2
4. Information	3
5. Vexatious requests	4
6. Fees	4
7. Time limits	4
8. Third Party Data	5
9. Exemptions	5
10. Refusal	6
Appendix 1 – Freedom of Information Publication Scheme	8

## 1. Introduction

The Freedom of Information Act 2000 gives individuals the right to access official information from public bodies. Under the Act, any person has a legal right to ask for access to information held by the school. They are entitled to be told whether the Aspire Schools Trust (the Trust) hold the information, and to receive a copy, subject to certain exemptions. While the Act assumes openness, it recognises that certain information is sensitive. There are exemptions to protect this information. Full details on how requests can be made are set out in section 1 of this policy.

Public Authorities should be clear and proactive about the information they will make public. For this reason, a publication scheme is available and can be found at section 2 of this policy.

This policy does not form part of any individual's terms and conditions of employment with the Trust and is not intended to have contractual effect.

This policy should be used in conjunction with the Trust's Data Protection Policy.

## 2. Responsibilities

The Headteacher in each school within the Aspire Schools Trust is responsible for ensuring freedom of information compliance within their setting. Day to day responsibilities for freedom of information (including liaising with the Trust appointed Data Protection Officer) may be delegated by the Headteacher to another member of staff within their school.

All members of staff are required to familiarise themselves with the content of this policy and comply with the provisions contained within it. A freedom of information request can be addressed to any member of staff and all staff need to be aware of the process for dealing with requests.

## 3. Making a Freedom of information request

Requests under Freedom of Information should be made to the Data Protection Officer, Aspire Schools Trust, Main Road, Welbourn, LN5 0PA or electronically to [dpo@aspire-school-trust.org](mailto:dpo@aspire-school-trust.org).

Requests for information that are not data protection or environmental information requests will be covered by the Freedom of Information Act: -

***Data Protection enquiries (or Subject Access Requests/SARs)*** are requests where the enquirer asks to see what personal information we hold about the enquirer. If the enquiry is a Data Protection request, the Trust's Data Protection Policy should be followed.

***Environmental Information Regulations enquiries*** are those which relate to air, water, land, natural sites, built environment, flora and fauna, health, and any decisions and activities affecting any of these. These could, therefore, include enquiries about recycling, phone masts, school playing fields, car parking etc. If the enquiry is about environmental information, follow the guidance on the Department for Environment, Food and Rural Affairs (DEFRA) website.

Freedom of Information requests *must* be made in writing, (including email), and should include the enquirer's name, correspondence address (email addresses are allowed), and state what information they require. There must be enough

information in the request to be able to identify and locate the information. If this information is covered by one of the other pieces of legislation (as referred to above), they will be dealt with under the relevant policy/procedure related to that request.

If the request is ambiguous and/or we require further information in order to deal with your request, we will request this further information directly from the individual making the request. Please note that we do not have to deal with the request until the further information is received. Therefore, the time limit starts from the date that we receive all information required in order to deal with the request.

The requester does not have to mention the Act, nor do they have to say why they want the information. There is a duty to respond to all requests, telling the enquirer whether or not the information is held, and supplying any information that is held, except where exemptions apply. There is a time limit of 20 school days (i.e. excluding school holidays) for responding to the request.

#### 4. Information

Provided all requirements are met for a valid request to be made, we will provide the information that it holds (unless an exemption applies).

*Holding information means information relating to the business of the Trust:*

- That the Trust has created; or
- That the Trust has received from another body or person; or
- Held by another body on the Trust's behalf.

Information means both hard copy and digital information, including email.

If the information is held by another public authority, such as the Local Authority, first check with them they hold it, then transfer the request to them. If this applies, we will notify the enquirer that we do not hold the information and to whom they have transferred the request. We will continue to answer any parts of the enquiry in respect of information it does hold.

When we do not hold the information, we have *no duty to create or acquire it* just to answer the enquiry; although a reasonable search will be made before confirming whether we have the information requested.

If the information requested is already in the public domain, for instance, through the Publication Scheme or on the Trust/School's website, we will direct the enquirer to the information and explain how to access it.

The requester has the right to be told if the information requested is held by the Trust (subject to any of the exemptions). This obligation is known as the Trust's *duty to confirm or deny* that it holds the information. However, the Trust does not have to confirm or deny if:

- The exemption is an absolute exemption; or
- In the case of qualified exemptions, confirming or denying would itself disclose exempted information.

## 5. Vexatious Requests

There is no obligation on the Trust to comply with vexatious requests. A vexatious request is one which is designed to cause inconvenience, harassment or expense rather than to obtain information, and would require a substantial diversion of resources or would otherwise undermine the work of the Trust. However, this does not provide an excuse for poor records management.

In addition, the Trust does not have to comply with repeated identical or substantially similar requests from the same applicant unless a reasonable interval has elapsed between requests.

## 6. Fees

The Trust may charge the requester a fee for providing the requested information. This will be dependent on whether the staffing costs deployed in complying with the request exceeds the threshold. The threshold is currently £450 with staff costs calculated at a fixed rate of £25 per hour (therefore 18 hours' work is required before the threshold is reached).

If a request would cost less than the threshold, then the Trust can only charge for the cost of informing the applicant whether the information is held, and communicating the information to the applicant (e.g. photocopying, printing and postage costs).

When calculating costs/threshold, the Trust can take account of the staff costs/time in determining whether the information is held by the Trust, locating and retrieving the information, and extracting the information from other documents. The Trust will not take account of the costs involved with considering whether information is exempt under the Act.

If a request would cost more than the appropriate limit, (£450) the Trust can turn the request down, answer and charge a fee or answer and waive the fee.

If the Trust are going to charge they will send the enquirer a fees notice. The Trust does not have to comply with the request until the fee has been paid. More details on fees can be found on the ICO website.

If planning to turn down a request for cost reasons, or charge a higher fee, the Trust will contact the applicant in advance to discuss whether they would prefer the scope of the request to be modified so that, for example, it would cost less than the appropriate limit.

Where two or more requests are made to the Trust by different people who appear to be acting together or as part of a campaign the estimated cost of complying with any of the requests may be taken to be the estimated total cost of complying with them all.

## 7. Time Limits

Compliance with a request must be prompt and within the time limit of 20 school days (this does not include the school holidays or weekends) or 60 working days if this is shorter. Failure to comply could result in a complaint by the requester to the Information Commissioner's Office. The response time starts counting as the first day from the next working day after the request is received (so if a request was received on Monday 6<sup>th</sup> October the time limit would start from the next working day, the 7<sup>th</sup> October).

Where the Trust has asked the enquirer for more information to enable it to answer, the 20 school days start time begins when this further information has been received.

If some information is exempt this will be detailed in the Trust's response.

If a qualified exemption applies and the Trust needs more time to consider the public interest test, the Trust will reply in 20 school days stating that an exemption applies but include an estimate of the date by which a decision on the public interest test will be made. This should be within a "reasonable" time.

Where the Trust has notified the enquirer that a charge is to be made, the time period stops until payment is received.

## 8. Third Party Data

Consultation of third parties may be required if their interests could be affected by release of the information requested, and any such consultation may influence the decision.

Consultation will be necessary where:

- Disclosure of information may affect the legal rights of a third party, such as the right to have certain information treated in confidence or rights under Article 8 of the European Convention on Human Rights;
- The views of the third party may assist the Trust to determine if information is exempt from disclosure; or
- The views of the third party may assist the Trust to determine the public interest test.

Personal information requested by third parties is also exempt under this policy, where release of that information would breach the Data Protection Act. If a request is made for a document (e.g. Governing Body minutes) which contains personal information whose release to a third party would breach the Data Protection Act, the document may be issued by blanking out the relevant personal information as set out in the redaction procedure.

## 9. Exemptions

The presumption of the Freedom of Information Act is that the Trust will disclose information unless the Act provides a specific reason to withhold it. The Act recognises the need to preserve confidentiality and protect sensitive material in certain circumstances.

The Trust may refuse all/part of a request, if one of the following applies: -

- 1) There is an exemption to disclosure within the act;
- 2) The information sought is not held;
- 3) The request is considered vexatious or repeated; or
- 4) The cost of compliance exceeds the threshold.

A series of exemptions are set out in the Act which allow the withholding of information in relation to an enquiry. Some are very specialised in their application (such as national security) and would not usually be relevant to schools.

There are two general categories of exemptions:

- 1) **Absolute:** where there is no requirement to confirm or deny that the information is held, disclose the information or consider the public interest; and
- 2) **Qualified:** where, even if an exemption applies, there is a duty to consider the public interest in disclosing information.

### 9.1 Absolute Exemptions

There are eight absolute exemptions set out in the Act. However, the following are the only absolute exemptions which will apply to the Trust: -

- Information accessible to the enquirer by other means (for example by way of the Publication Scheme);
- National Security/Court Records;
- Personal information (i.e. information which would be covered by the Data Protection Act);
- Information provided in confidence.

If an absolute exemption exists, it means that disclosure is not required by the Act. However, a decision could be taken to ignore the exemption and release the information, taking into account all the facts of the case if it is felt necessary to do so.

### 9.2 Qualified Exemptions

If one of the below exemptions apply (i.e. a qualified disclosure), there is also a duty to consider the public interest in confirming or denying that the information exists and in disclosing information.

The qualified exemptions under the Act which would be applicable to the Trust are: -

- Information requested is intended for future publication (and it is reasonable in all the circumstances for the requester to wait until such time that the information is actually published);
- Reasons of National Security;
- Government/International Relations;
- Release of the information is likely to prejudice any actual or potential legal action or formal investigation involving the Trust;
- Law enforcement (i.e. if disclosure would prejudice the prevention or detection of crime, the prosecution of offenders or the administration of justice);
- Release of the information would prejudice the ability of the Trust to carry out an effective audit of its accounts, resources and functions;
- For Health and Safety purposes;
- Information requested is Environmental information;
- Information requested is subject to Legal professional privilege; and
- For *Commercial Interest* reasons.

Where the potential exemption is a qualified exemption, the Trust will consider the public interest test to identify if the public interest in applying the exemption outweighs the public interest in disclosing it.

In all cases, before writing to the enquirer, the person given responsibility by the Trust for dealing with the request will need to ensure that the case has been properly considered, and that the reasons for refusal, or public interest test refusal, are sound.

## 10. Refusal

If the Trust decides to refuse a request, the Trust will send a refusals notice, which must contain

- The fact that the responsible person cannot provide the information asked for;
- Which exemption(s) apply;
- Why the exemption(s) apply to this enquiry (if it is not self-evident);
- Reasons for refusal; and
- The complaints procedure.

For monitoring purposes and in the case of an appeal against a decision not to release the information or an investigation by the Information Commissioner, the responsible person must keep a record of all enquiries where all or part of the requested information is withheld and exemptions are claimed. The record must include the reasons for the decision to withhold the information.

## Appendix 1 – Freedom of Information Publication Scheme

### 1. Introduction

This publication scheme follows a model approved by the Information Commissioners Office.

This scheme is not a list of individual publications but rather a description of the classes of types of information that we are committed to publishing. This list is not an exhaustive list of all of the types of information that we publish. We try to proactively publish as much information as we can where the information would have a wider public interest.

This scheme does not include information that we consider to be sensitive, such as personal information, information prevented from disclosure by law or information about security matters.

### 2. Classes of Information

There are six classes of information that we hold: -

- Who we are and what we do
- What we spend and how we spend it
- What our priorities are and how we are doing
- How we make decisions
- Our policies and procedures
- The services we offer

### 3. Making Information Available

Information will generally be made available on our website. Where it is not possible to include this information on our website, or when an individual does not wish to access the information by the website the Trust will indicate how information can be obtained by other means and provide it by those means. This may be detailed in response to a request or within the scheme itself. This will usually be by way of a paper copy.

In some exceptional circumstances, some information may be available only by viewing in person. Where this manner is specified, contact details will be provided. An appointment to view the information will be arranged within a reasonable timescale.

Information will be provided in the language in which it is held or in such other language that is legally required. Where we are legally required to translate any information, we shall do so.

### 4. Charges for Information Published Under this Scheme

The Trust may charge individuals for information published under this scheme. The purpose of this scheme is to make the maximum amount of information readily available at the minimum inconvenience and cost to the public. Charges made by the Trust for routinely published material will be justified and transparent and kept to a minimum.

Material which is published and accessed on the website will be provided free of charge.

Charges may be made for information subject to a charging regime specified by law.

Charges will be made to cover:

- photocopying;
- postage and Packaging; and
- the costs directly incurred as a result of viewing information.

Single copies of information requested which are covered by the publication scheme will be provided free unless otherwise stated within the scheme. If the request involved a large amount of photocopying, printing or postage, then this may be at a cost. If this is the case we will let you know as well as let you know the cost before fulfilling your request.

## 5. How to request information

If you require a paper version of any of the documents within the scheme please contact us using the contact details below.

<b>Aspire Schools Trust</b> c/o Sir William Robertson Academy Main Road Welbourn LN5 0PA Tel: 01400 272422 <a href="mailto:enquiries@aspire-school-trust.org">enquiries@aspire-school-trust.org</a>	<b>Sir William Robertson Academy</b> Main Road Welbourn LN5 0PA  Tel: 01400 272422 <a href="mailto:enquiries@swracademy.org">enquiries@swracademy.org</a>	<b>Bassingham Primary School</b> Lincoln Road Bassingham LN5 9HQ  Tel: 01522 788395 <a href="mailto:enquiries@bassingham-school.org">enquiries@bassingham-school.org</a>
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Please mark all correspondence *Publication Scheme Request* in order to help us process your request quickly. If the information you are looking for isn't available via the scheme, you can still contact us to ask if we have this information.

## 6. Complaints and/or Appeals

Any written (including email) expression of dissatisfaction should be handled through the existing complaints procedure. Wherever practicable the review should be handled by someone not involved in the original decision.

The Governing Body should set and publish a target time for determining complaints and information on the success rate in meeting the target. We maintain records of all complaints and their outcome.

If the outcome is that the Trust's original decision or action is upheld, then the applicant can appeal to the Information Commissioner. The appeal can be made via their website or in writing to:

Customer Contact  
Information Commissioner's Office  
Wycliffe House  
Water Lane  
Wilmslow  
SK9 5AF

## 7. The Publication Scheme

Information to be published		How the information can be obtained	Cost
<b>Class 1 – Who we are and what we do</b>			
Who's Who - including structure	AST	<a href="https://www.aspireschooltrust.org/members-trustees/">https://www.aspireschooltrust.org/members-trustees/</a>	Website: Free  Hard Copy: See page 13 for pricing
	SWRA	<a href="http://www.swracademy.net/information/staff/">http://www.swracademy.net/information/staff/</a>	
	BPS	<a href="https://www.bassinghamschool.org/">https://www.bassinghamschool.org/</a>	
Who's who on the governing body / board of governors and the basis of their appointment	AST	<a href="https://www.aspireschooltrust.org/members-trustees/">https://www.aspireschooltrust.org/members-trustees/</a>	
	SWRA	<a href="http://www.swracademy.net/information/governors/">http://www.swracademy.net/information/governors/</a>	
	BPS	<a href="https://www.bassinghamschool.org/governing-body/">https://www.bassinghamschool.org/governing-body/</a>	
Instrument of Government / Articles of Association	AST	<a href="https://www.aspireschooltrust.org/governance/">https://www.aspireschooltrust.org/governance/</a>	
	SWRA	<a href="http://www.swracademy.net/information/governors/">http://www.swracademy.net/information/governors/</a>	
	BPS	<a href="https://www.bassinghamschool.org/governing-body/">https://www.bassinghamschool.org/governing-body/</a>	
Address and contact details for the Head teacher and for the governing body, via the school (named contacts where possible).	AST	<a href="https://www.aspireschooltrust.org/contact/">https://www.aspireschooltrust.org/contact/</a>	
	SWRA	<a href="http://www.swracademy.net/contact-1/">http://www.swracademy.net/contact-1/</a>	
	BPS	<a href="https://www.bassinghamschool.org/contact/">https://www.bassinghamschool.org/contact/</a>	
School prospectus	SWRA	<a href="http://www.swracademy.net/parents/schoolprospectus/">http://www.swracademy.net/parents/schoolprospectus/</a>	
	BPS	<a href="https://www.bassinghamschool.org/">https://www.bassinghamschool.org/</a>	
An outline of the school Curriculum	SWRA	<a href="http://www.swracademy.net/curriculum/curriculum-map/">http://www.swracademy.net/curriculum/curriculum-map/</a>	
	BPS	<a href="https://www.bassinghamschool.org/curriculum/">https://www.bassinghamschool.org/curriculum/</a>	
School session times and term dates	SWRA	<a href="http://www.swracademy.net/">http://www.swracademy.net/</a>	
	BPS	<a href="https://www.bassinghamschool.org/">https://www.bassinghamschool.org/</a>	
<b>Class 2 – What we spend and how we spend it</b>			
Financial Annual Report and Financial Statements (Final Accounts)	AST	<a href="https://www.aspireschooltrust.org/governance/">https://www.aspireschooltrust.org/governance/</a>	Website: Free  Hard Copy: See page 13 for pricing
	SWRA		
	BPS		
Pay policy	AST	Hard copy: available on request – contact Trust	
	SWRA		
	BPS		
Staff allowances and expenses that can be incurred or claimed, with totals paid to individual senior staff members	AST	Hard copy: available on request – contact Trust	
	SWRA		
	BPS		
Staffing, pay and grading structure.	AST	Hard copy: available on request – contact Trust	
	SWRA		
	BPS		

Governors' allowances that can be incurred or claimed, and a record of total payments made to individual governors.	AST	Hard copy: available on request – contact Trust	
	SWRA		
	BPS		
<b>Class 3 – What are our priorities and how are we doing</b>			
The latest Ofsted report	SWRA	<a href="https://reports.ofsted.gov.uk/provider/23/138839">https://reports.ofsted.gov.uk/provider/23/138839</a>	Website: Free
	BPS	<a href="https://reports.ofsted.gov.uk/provider/21/145437">https://reports.ofsted.gov.uk/provider/21/145437</a>	
Performance management policy and procedures adopted by the governing body.	SWRA	Hard copy: available on request – contact Trust	Hard Copy: See page 13 for pricing
	BPS		
Performance data	SWRA	<a href="http://www.swracademy.net/information/exam-performance/">http://www.swracademy.net/information/exam-performance/</a>	
		<a href="https://www.compare-school-performance.service.gov.uk/school/138839/sir-william-robertson-academy%2c-welbourn/secondary">https://www.compare-school-performance.service.gov.uk/school/138839/sir-william-robertson-academy%2c-welbourn/secondary</a>	
	BPS	<a href="https://www.gov.uk/school-performance-tables">https://www.gov.uk/school-performance-tables</a>	
		<a href="https://www.bassingshamschool.org/assessment/">https://www.bassingshamschool.org/assessment/</a>	
Future Plans (located in the Financial Annual Report and Financial Statements (Final Accounts))	AST	<a href="https://www.aspireschooltrust.org/governance/">https://www.aspireschooltrust.org/governance/</a>	
	SWRA		
	BPS		
Safeguarding and child protection	SWRA	<a href="http://www.swracademy.net/parents/safeguarding/">http://www.swracademy.net/parents/safeguarding/</a>	
	BPS	<a href="https://www.bassingshamschool.org/safeguarding/">https://www.bassingshamschool.org/safeguarding/</a>	
<b>Class 4 – How we make decisions</b>			
Admissions policy/decisions (not individual admission decisions) – where applicable	SWRA	<a href="http://www.swracademy.net/information/admissions/">http://www.swracademy.net/information/admissions/</a>	Website: Free
	BPS	<a href="https://www.lincolnshire.gov.uk/school-admissions">https://www.lincolnshire.gov.uk/school-admissions</a> <a href="https://www.bassingshamschool.org/policies/">https://www.bassingshamschool.org/policies/</a> <a href="https://www.lincolnshire.gov.uk/school-admissions">https://www.lincolnshire.gov.uk/school-admissions</a>	
Agendas and minutes of meetings of the governing body and its committees. (NB this will exclude information that is properly regarded as private to the meetings).	AST	Hard copy: available on request – contact Trust	Hard Copy: See page 13 for pricing
	SWRA	Hard copy: available on request – contact School	
	BPS	Hard copy: available on request – contact School	

Class 5 – Our policies and procedures			
Records management and personal data policies, including: <ul style="list-style-type: none"> <li>Information security policies</li> <li>Records retention, destruction and archive policies</li> <li>Data protection (including information sharing policies)</li> </ul>	AST	Hard copy: available on request – contact Trust	Website: Free  Hard Copy: See page 13 for pricing
	SWRA	Hard copy: available on request – contact School	
	BPS	Hard copy: available on request – contact School	
Behaviour Policy	SWRA	<a href="http://www.swracademy.net/information/policies/">http://www.swracademy.net/information/policies/</a>	
	BPS	<a href="https://www.bassingshamschool.org/policies/">https://www.bassingshamschool.org/policies/</a>	
Capability Policy	AST	Hard copy: available on request – contact Trust	
	SWRA		
	BPS		
Careers Programme Information	SWRA	<a href="http://www.swracademy.net/parents/careers/">http://www.swracademy.net/parents/careers/</a>	
Charging and Remission Policy	SWRA	<a href="http://www.swracademy.net/information/policies/">http://www.swracademy.net/information/policies/</a>	
	BPS	<a href="https://www.bassingshamschool.org/policies/">https://www.bassingshamschool.org/policies/</a>	
Complaints	AST	<a href="https://www.aspireschoolstrust.org/policies/">https://www.aspireschoolstrust.org/policies/</a>	
	SWRA	<a href="http://www.swracademy.net/information/policies/">http://www.swracademy.net/information/policies/</a>	
	BPS	<a href="https://www.bassingshamschool.org/policies/">https://www.bassingshamschool.org/policies/</a>	
Equality Objectives	AST	<a href="http://www.swracademy.net/information/policies/public-sector-equality-duty/">http://www.swracademy.net/information/policies/public-sector-equality-duty/</a>	
	SWRA		
	BPS		
First Aid	SWRA	Hard copy: available on request – contact school	
	BPS	Hard copy: available on request – contact school	
Health & Safety	SWRA	Hard copy: available on request – contact school	
	BPS	Hard copy: available on request – contact school	
Premises Management Policies	SWRA	Hard copy: available on request – contact school	
	BPS	Hard copy: available on request – contact school	
Protection of Children’s Biometric Information	SWRA	Hard copy: available on request – contact school	
	BPS	Hard copy: available on request – contact school	
Pupil Premium	SWRA	<a href="http://www.swracademy.net/information/pupil-premium/">http://www.swracademy.net/information/pupil-premium/</a>	
	BPS	<a href="https://www.bassingshamschool.org/pupil-premium/">https://www.bassingshamschool.org/pupil-premium/</a>	
Special Educational Needs and Disabilities (SEND), including Accessibility plan	SWRA	<a href="http://www.swracademy.net/curriculum/learning-support/">http://www.swracademy.net/curriculum/learning-support/</a>	
		<a href="http://www.swracademy.net/information/policies/">http://www.swracademy.net/information/policies/</a>	
	BPS	<a href="https://www.bassingshamschool.org/">https://www.bassingshamschool.org/</a>	
Staff Discipline, Conduct & Grievance	AST	Hard copy: available on request – contact Trust	
	SWRA	Hard copy: available on request – contact school	

	BPS	Hard copy: available on request – contact school	
Early Years Foundation Policy	BPS	<a href="https://www.bassingshamschool.org/">https://www.bassingshamschool.org/</a>	
<b>Class 6 – Lists and Registers</b>			
Any information the school is currently legally required to hold in publicly available registers	SWRA	Inspection Only – Contact School	
	BPS	Inspection Only – Contact School	
<b>Class 7 – The services we offer</b>			
Extra-curricular activities	SWRA	<a href="http://www.swracademy.net/">http://www.swracademy.net/</a>	Website: Free Hard Copy: See page 13 for pricing
	BPS	<a href="https://www.bassingshamschool.org/">https://www.bassingshamschool.org/</a>	
School publications, leaflets, books and newsletters	SWRA	<a href="http://www.swracademy.net/">http://www.swracademy.net/</a>	
	BPS	<a href="https://www.bassingshamschool.org/">https://www.bassingshamschool.org/</a>	

### Schedule of Charges

TYPE OF CHARGE	DESCRIPTION	BASIS OF CHARGE
<b>Disbursement cost</b>	Photocopying/printing @ 4p per sheet (black & white)	Actual cost
	Photocopying/printing @ 6p per sheet (colour)	Actual cost
	Postage	Actual cost of Royal Mail standard 2 <sup>nd</sup> class
<b>Statutory Fee</b>	In accordance with the relevant legislation (quote the actual statute)	